Liquid Capital Statement

for the month of 31-JUL-17

of M/s. New Peak Securities (Pvt) Ltd

Submission Date 15-AUG-2017 14:47:00

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S.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
1	Assets			
	Property & Equipment			
1.2	Intangible Assets	5,218,131	5,218,131	
	Investment in Govt. Securities	4,000,000	0	
	Investment in Debt. Securities			
	If listed than: 1. 5% of the balance sheet value in the case of tenure upto 1 year.			
	ii. 7.5% of the balance sheet value, in the case of tendre upto 1 year.			
	iii. 10% of the balance sheet value, in the case of tenure of more than 3 years.			
	If unlisted than:			
	i. 10% of the balance sheet value in the case of tenure upto 1 year. ii. 12.5% of the balance sheet value, in the case of tenure from 1-3 years.			
	iii. 15% of the balance sheet value, in the case of tenure of more than 3 years.			
1.5	Investment in Equity Securities			
	i. If listed 15% or VaR of each securities on the cutoff date as computed by the Securities Exchange for	324,050	48,607	275,443
	respective securities whichever is higher.			
	ii. If unlisted, 100% of carrying value. III.Subscription money against Investment in IPO/offer for Sale: Amount paid as subscription money			
	provided that shares have not been alloted or are not included in the investments of securities broker.			
	Investment in subsidiaries			
1.7	Investment in associated companies/undertaking			
	i. If listed 20% or VaR of each securities as computed by the Securites Exchange for respective securities			
	whichever is higher. ii. If unlisted, 100% of net value.			
1.8	Statutory or regulatory deposits/basic deposits with the exchanges, clearing house or central depository	1,581,000	1,581,000	
	or any other entity.			
	Margin deposits with exchange and clearing house. Deposit with authorized intermediary against borrowed securities under SLB.	18,080,000	0	18,080,000
	Other deposits and prepayments	34,515	34,515	
	Accrued interest, profit or mark-up on amounts placed with financial institutions or debt securities		- 1,- 1	
	etc.(Nil)			
1.13	100% in respect of markup accrued on loans to directors, subsidiaries and other related parties Dividends receivables.			
	Amounts receivable against Repo financing.			
	Amount paid as purchaser under the REPO agreement. (Securities purchased under repo arrangement			
1.15	shall not be included in the investments.) i. Short Term Loan To Employees: Loans are Secured and Due for repayment within 12 months	0	0	
1.13	n. Short feith Loan to Employees. Loans are secured and Due for repayment within 12 months	0	0	
	ii. Receivables other than trade receivables	667,215	667,215	
1.16	Receivables from clearing house or securities exchange(s)			
	i. 100% value of claims other than those on account of entitlements against trading of securities in all			
	markets including MtM gains. ii. Receivable on entitlements against trading of securities in all markets including MtM gains.			
	Receivables from customers			
	i. In case receivables are against margin financing, the aggregate if (i) value of securities held in the			
	blocked account after applying VAR based Haircut, (ii) cash deposited as collateral by the financee (iii)			
	market value of any securities deposited as collateral after applying VaR based haircut.			
	i. Lower of net balance sheet value or value determined through adjustments. ii. Incase receivables are against margin trading, 5% of the net balance sheet value.			
	ii. Net amount after deducting haircut			
	iii. Incase receivalbes are against securities borrowings under SLB, the amount paid to NCCPL as			
	collateral upon entering into contract, iii. Net amount after deducting haricut			
	iv. Incase of other trade receivables not more than 5 days overdue, 0% of the net balance sheet value.	25,215,509	0	25,215,509
	iv. Balance sheet value			
	v. Incase of other trade receivables are overdue, or 5 days or more, the aggregate of (i) the market value of securities purchased for customers and held in sub-accounts after applying VAR based	6,005,834	4,123,730	4,123,730
	haircuts, (ii) cash deposited as collateral by the respective customer and (iii) the market value of			
	securities held as collateral after applying VaR based haircuts.			
1 10	vi. 100% haircut in the case of amount receivable form related parties.			
1.18	Cash and Bank balances	2 000 005	_	2 200 205
	i. Bank Balance-proprietory accounts ii. Bank balance-customer accounts	3,889,095 60,816,409		
	iii. Cash in hand	297,742		
	Total Assets	126,129,500		112,697,928
2	Liabilities			
2.1	Trade Payables			
	i. Payable to exchanges and clearing house			
	ii. Payable against leveraged market products iii. Payable to customers	89,170,662	0	89,170,662
	min a jamo to castornolo	03,170,002	0	55,170,002

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Coursel portion of autocolinotect leaves Coursel portion of long to mibilities Coursel portion of lon			93,994	0	93,994
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Subordinated Loans			017 202	0	047.202
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	3.8	Concentrated proprietary positions			

Liquid Capital Statement

for the month of 31-JUL-17

of M/s. New Peak Securities(Pvt) Ltd

Submission Date 15-AUG-2017 14:47:00

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s.No.	Head of Account	Value in Pak Rupee	Hair Cut/ Adjustments	Net Adjusted Value
	If the market value of any security is between 25% and 51% of the total proprietary positions then 5% of the value of such security. If the market of a security exceeds 51% of the proprietary position, then 10% of the value of such security	26,540	26,540	26,540
3.9	Opening Positions in futures and options i. In case of customer positions, the total margin requiremnets in respect of open postions less the amount of cash deposited by the customer and the value of securites held as collateral/pledged with securities exchange after applyiong VaR haircuts ii. In case of proprietary positions, the total margin requirements in respect of open positions to the extent not already met			
3.10	Short selll positions i. Incase of customer positions, the market value of shares sold short in ready market on behalf of customers after increasing the same with the VaR based haircuts less the cash deposited by the customer as collateral and the value of securities held as collateral after applying VAR based Haircuts ii. Incase of proprietory positions, the market value of shares sold short in ready market and not yet settled increased by the amount of VAR based haircut less the value of securities pledged as collateral after applying haircuts.			
3.11	Total Ranking Liabilites	26,540	26,540	26,540
3.12	Liquid Capital	26,880,750	11,646,658	13,449,178